



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form PTO-1449

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

Complete If Known

Applicant Number	10/640,620
Filing Date	August 12, 2003
First Named Inventor	AVAKIAN, et al.
Art Unit	2193
Examiner Name	VU, Tuan A.
Attorney Docket Number	10017134-1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
[Handwritten marks]		US- 5,848,274	12-08-1998	Hamby, et al.	
		US- 8,026,237	02-15-2000	Berry, et al.	
		US- 8,041,352	03-21-2000	Burdick, et al.	
		US- 8,263,491	07-17-2001	Hunt, Galen C.	
		US- 8,298,475	10-02-2001	Alcorn, John William	
		US- 8,314,558	11-06-2001	Angel, et al.	
		US- 8,327,700	12-04-2001	Chen, et al.	
		US- 8,349,406	02-19-2002	Levine, et al.	
		US- 8,442,820	08-27-2002	Thalte, et al.	
		US- 8,587,888	07-01-2003	Chieu, et al.	
		US- 8,742,178	05-25-2004	Berry, et al.	
		US- 8,748,555	08-08-2004	Teegan, et al.	
		US- 8,772,228	08-03-2004	Aragona, Daniel	
		US- 7,003,565	02-21-2006	Hind, et al.	
		US- 2003/0093772	05-15-2003	Stephenson, David Arthur	
[Handwritten marks]		US- 2003/0120593	06-26-2003	Bansal, et al.	
		US- 2003/0135789	07-17-2003	DeWitt, et al.	
		US- 2003/0195959	10-16-2003	Labadie, et al.	
		US- 20040220947	11-04-2004	Aman, et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

Examiner's
SignatureDate
Considered

02-11-07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of
-------	---	----

Complete If Known

Applicant Number	10/640,620
Filing Date	August 12, 2003d
First Named Inventor	AVAKIAN, Arra E.
Art Unit	2193
Examiner Name	VU, Tuan A.
Attorney Docket Number	10017134-1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
NA		ARNOLD, et al. "Approximating the Calling Context Tree via Sampling" IBM Research Report. July 7, 2000.
		JOHNSON, Mark W. "The Application Response Measurement (ARM) API, Version 2." ARM Working Group, 1997.
		Hunt, et al. "Intercepting and Instrumenting COM Applications". 5 th USENIX Conference on Object-Oriented Technologies and Systems. 1999.
		DUNN, Michael. "Introduction to COM - What it is and How to Use It." The Code Project. July 28, 2000.
		BROWN, Keith. "Building a Lightweight COM Interception Framework Part 1: The Universal Delegator." Microsoft Systems Journal. January 1999.
		Package gnu.bytecode. < http://sources.redhat.com/kawa/api/gnu/bytecode/package-summary.html >. Accessed November 8, 2008.
		KELLER, et al. "Supporting The Integration and Evolution of Components Through Binary Component Adaptation". Technical Report TRCS97-15. September 9, 1997.
		Jikes Bytecode Toolkit. < http://www.alphaworks.ibm.com/tech/jikesbt >. Posted March 31, 2000. Accessed November 8, 2008.
		The Java Object Instrumentation Environment. < http://www.cs.duke.edu/ar/joie >. May 1, 2003. Accessed November 8, 2008.
		LEE, Han Bok. "BIT: BYTECODE INSTRUMENTING TOOL" Thesis submitted to University of Colorado, 1997.
		CFPARKSE < http://www.alphaworks.ibm.com/tech/cfparse >. April 30, 1999. Accessed August 15, 2003.
		Welcome to the Byte Code Engineering Library. < http://bcel.sourceforge.net >. April 12, 2002. Accessed November 28, 2006.

Examiner's Signature		Date Considered	02-19-07
-------------------------	-------------------------------------------------------------------------------------	--------------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.